IPW

32692
Customer Number

Patent
Case No.: 58807US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	ROSENFLANZ, ANATOLY Z.		
Application No.:	10/666212	Group Art Unit:	1755
Filed:	September 18, 2003	Examiner:	Elizabeth Bolden
Title:	CERAMICS COMPRISING AL_2O_3, REO, ZRO_2 AND/OR HFO_2, AND NB_2O_5 AND/OR TA_2O_5 AND METHODS OF MAKING THE SAME		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:
June 9, 2004 Lisa Hengen
Date Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

June 3, 2004
Date

By: _____
Gregory D. Allen, Reg. No.: 35,048
Telephone No.: (651) 736-0641

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)	Application Number	10/666212
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	September 18, 2003
	First Named Inventor	Rosenflanz, Anatoly Z.
(Use as many sheets as necessary)	Art Unit	1755
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U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 659,926	10/16/1900	Jacobs	
	A2	US- 906,339	12/08/1908	Tone	
	A3	US- 960,712	06/07/1910	Saunders	
	A4	US- 1,037,999	09/10/1912	Saunders	
	A5	US- 1,107,011	08/11/1914	Allen	
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	A7	US- 1,161,620	11/23/1915	Coulter	
	A8	US- 1,192,709	07/25/1916	Tone	
	A9	US- 1,240,490	09/18/1917	Saunders et al.	
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	A11	US- 1,257,356	02/26/1918	Hutchins	
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	A15	US- 1,268,532	06/04/1918	Allen	
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	A18	US- 1,339,344	05/04/1920	Hutchins	
	A19	US- 1,402,714	01/03/1922	Brockbank	
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	A21	US- 1,910,444	05/23/1933	Nicholson	
	A22	US- 2,000,857	05/07/1935	Masin	
	A23	US- 2,424,645	07/29/1947	Baumann, Jr. et al.	
	A24	US- 2,618,567	11/18/1952	Comstock, III	
	A25	US- 2,958,593	11/01/1960	Hoover et al.	
	A26	US- 2,961,296	11/22/1960	Fenerty	
	A27	US- 3,041,156	06/26/1962	Rowse et al.	
	A28	US- 3,141,747	07/21/1964	Marshall	

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	A29	US- 3,174,871	03/23/1965	Geffcken et al.	
	A30	US- 3,181,939	05/04/1965	Marshall et al.	
	A31	US- 3,216,794	11/09/1965	Roschuk	
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	A33	US- 3,498,769	03/03/1970	Coes, Jr.	
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	A38	US- 3,792,553	02/19/1974	Schleifer et al.	
	A39	US- 3,859,407	01/07/1975	Blanding et al.	
	A40	US- 3,881,282	05/06/1975	Watson	
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	A47	US- 4,035,162	07/12/1977	Brothers et al.	
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	A50	US- 4,070,796	01/31/1978	Scott	
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	A55	US- 4,157,898	06/12/1979	Walker et al.	
	A56	US- 4,194,887	03/25/1980	Ueltz et al.	

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Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Art Unit	1755
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	A57	US- 4,238,213	12/09/1980	Pallo et al.	
	A58	US- 4,261,706	04/14/1981	Blanding et al.	
	A59	US- 4,311,489	01/19/1982	Kressner	
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	A67	US- 4,518,397	05/21/1985	Leitheiser et al.	
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	A71	US- 4,595,663	06/17/1986	Krohn et al.	
	A72	US- 4,623,364	11/18/1986	Cottringer et al.	
	A73	US- 4,652,275	03/24/1987	Bloecher et al.	
	A74	US- 4,734,104	03/29/1988	Broberg	
	A75	US- 4,737,163	04/12/1988	Larkey	
	A76	US- 4,741,743	05/03/1988	Narayanan et al.	
	A77	US- 4,744,802	05/17/1988	Schwabel	
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	A79	US- 4,752,459	06/21/1988	Pepper	
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	A81	US- 4,762,677	08/09/1988	Dolgin	
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	Examiner Name	Elizabeth Bolden
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	A85	US- 4,800,685	01/31/1989	Haynes. Jr.	
	A86	US- 4,881,951	11/21/1989	Wood et al.	
	A87	US- 4,898,587	02/06/1990	Mera	
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	A89	US- 4,960,441	10/02/1990	Pellow et al.	
	A90	US- 4,997,461	03/05/1991	Markhoff-Matheny et al.	
	A91	US- 5,007,943	04/16/1991	Kelly et al.	
	A92	US- 5,009,675	04/23/1991	Kunz et al.	
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	A94	US- 5,011,508	04/30/1991	Wald et al.	
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	A97	US- 5,038,453	08/13/1991	Kurita et al.	
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	A111	US- 5,203,884	04/20/1993	Buchanan et al.	
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	A113	US- 5,227,104	07/13/1993	Bauer	
	A114	US- 5,259,147	11/09/1993	Falz et al.	
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	A163	US- 6,451,077	09/17/2002	Rosenflanz	
	A164	US- 6,454,822	09/24/2002	Rosenflanz	
	A165	US- 6,458,731	10/01/2002	Rosenflanz	
	A166	US- 6,469,825	10/22/2002	Digonnet et al.	
	A167	US- 6,482,758	11/19/2002	Weber et al.	
	A168	US- 6,484,539 B1	11/26/2002	Nordine et al.	

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	A170	US- 6,521,004	02/18/2003	Culler et al.	
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	A183	US- 02-0066233-A1	06/06/2002	McArdle et al.	
	A184	US- 02-0160694-A1	10/31/2002	Wood et al.	
	A185	US- 03-0110706-A1	06/19/2003	Rosenflanz	
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		Ctry. Code	Number-KindCode (If known)				
	B1	AT	333146	10/11/1976			X
	B2	DD	134 638 A	03/14/1979			X
	B3	DE	20 34 011	07/09/1970			X
	B4	EP	0 200 487	11/05/1986			
	B5	EP	0 291 029 A1	11/17/1988			
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	B7	EP	0 408 771 A1	01/23/1991			
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	B13	EP	0 601 453 A2	06/15/1994			X
	B14	EP	0 647 601 A1	04/12/1995			
	B15	EP	0 709 347	05/01/1996			
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	B17	FR	1547 989	10/21/1968			X
	B18	FR	2 609 708	07/22/1988			X
	B19	GB	1 121 875	07/31/1968			
	B20	GB	1 260 933 A	01/19/1972			
	B21	JP	200045128A	02/15/2000			X Machine
	B22	JP	200045129A	02/15/2000			X Machine
	B23	JP	06 040765A	2/15/1994			X Machine
	B24	JP	59 22 7726A	12/21/1984			X Machine

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		First Named Inventor	Rosenflanz, Anatoly Z.
(Use as many sheets as necessary)		Art Unit	1755
		Examiner Name	Elizabeth Bolden
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Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B25	JP	2001294480	10/23/2001			X Machine
	B26	Hei	11-189926	07/13/1999			X Machine
	B27	Hei	4-119941	04/21/1992			X
	B28	S	63-156024	06/29/1988			X
	B29	S	63-303821	12/28/1988			X
	B30	WO	94/14722	07/07/1994			
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	C5	"China: Oversupply Puts Rare Earths Projects On Hold", Industrial Minerals, August, 1997, 1 page.
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	C79	U.S. Application entitled "Methods of Making Ceramics Comprising Al_2O_3, REO, ZrO_2 and/or HfO_2 and Nb_2O_5 and/or Ta_2O_5", filed September 18, 2003, having U.S. Serial No. 10/666615 (Attorney Docket No. 58354US002)
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